TÜV SÜD
South Asia

CERTIFICATE

**The Certification Body
of TÜV SÜD South Asia Private Limited**

herby confirms according to ISO/IEC 17021-1 that the

UNIQUE

**Unique AG
Stockerstr.34
Zurich - 8002
Switzerland**

has implemented Artificial Intelligence Management System

in accordance with **ISO/IEC 42001:2023**

for the scope of

**Development & Deployment software as a service (SaaS) for
financial Services Clients based on pre-trained AI foundation models.
Either Unique leverages Microsoft Azure OpenAI Services or works with
AI models provided by clients (e.g. self-hosted and trained Mistral model
on premise of the client)**

The certificate is valid from **2025-03-07** until **2028-03-06**
Subject to successful completion of annual periodic audits

The present status of this certificate can be obtained through TUV SUD website by scanning below QR code and by entering the certificate number (without spaces) on web page. Further clarifications regarding the status & scope of this certificate may be obtained by consulting the certification body at info.in@tuvsud.com

Operates in compliance with the requirements of ISO/IEC 42001:2023

This certificate does not authorize the labelling of products or services.

Certificate Registration No. **99 420 00001**

Version of the statement of applicability: **1.0, Dated 14. Nov. 2024**

Date of Initial certification: **2025-03-07**

Issue Date: **2025-03-07** Rev. **00**

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Rahul Kale
Head of Certification Body
of TÜV SÜD South Asia Private Limited,
Mumbai
Member of TÜV SÜD Group

TÜV SÜD
ISO/IEC 42001